

ASSET MANAGEMENT

RC 1518668

INVESTMENT ACCOUNT



CORPORATE INVESTMENT ACCOUNT OPENING FORM

ASSET MANAGEMENT

I MAINACEMEN

GETTING STARTED

PLEASE COMPLETE AND SIGN THIS ACCOUNT OPENING FORM, ALONG WITH ANY REQUIRED SUPPLEMENTAL FORMS IDENTIFIED THROUGH THIS APPLICATION PROCESS.

IN ORDER TO COMPLETE THIS APPLICATION, YOU WILL NEED SOME OR ALL OF THE FOLLOWING INFORMATION:

- IDENTIFICATION FOR 2 DIRECTORS AND ALL SIGNATORIES (DRIVER'S LICENSE/INTERNATIONAL PASSPORT/NATIONAL ID/PERMANENT VOTERS CARD)
- 1 PASSPORT PHOTOGRAPH EACH FOR ALL SIGNATORIES
- UTILITY BILL FOR 2 DIRECTORS AND ALL SIGNATORIES (BILL/PRE-PAID METER CARD/RECEIPT) NOT OLDER THAN 3 MONTHS
- A COPY OF THE MEMORANDUM AND ARTICLES OF ASSOCIATION (MEMART)
- A COPY OF THE FORM CAC 2 (SHAREHOLDERS) & CAC 7 (DIRECTORS) OR CAC 1.1
- A COPY OF THE CERTIFICATE OF INCORPORATION OF THE ENTITY
- A COPY OF THE BOARD RESOLUTION

COMPANY INFORMATION						
COMPANY NAME:						
CERTIFICATE OF INCORPORATION/REGISTRATION NUMBER:						
DATE OF REGISTRATION: COL	Intry of registration:					
BUSINESS TYPE: TAX	identification number (tin):					
SPECIAL CONTROL UNIT AGAINST MONEY LAUNDERING (SCU	ML) REG. NO:					
COMPANY ADDRESS: ADDRESS:						
HOUSE NUMBER	Street Name					
CITY	STATE					
C	OUNTRY					
EMAIL:	WEBSITE (IF ANY):					
	LT PHONE NUMBER:					
1. COMPANY SIGNATORY DETAILS						
TITLE GENDER: MALE FEMALE	DATE OF BIRTH					
SURNAME	OTHER NAME					
FIRST NAME	MOTHER'S MAIDEN NAME					
NATIONALITY	STATE OF ORIGIN					
LGA OF ORIGIN	PLACE OF BIRTH					
DUAL NATIONALITY YES NO	IF YES STATE 2ND NATIONALITY					
POSITION:	CLASS OF SIGNATORY: A B C					
RESIDENTIAL ADDRESS:						
HOUSE NUMBER	Street Name					
CTATE						
	STATE					

EMAIL	PHONE NUMBER					
ID TYPE: INTERNATIONAL PASSPORT NATIONAL IE	DRIVER'S LICENSE VOTERS CARD (PVC)					
ID NO. ISSUE DATE	ISSUE DATE					
BVN SIGNATURE	DATE DATE					
2. COMPANY SIGNATORY DETAILS						
TITLE GENDER: MALE FEMALE	DATE OF BIRTH					
SURNAME	OTHER NAME					
FIRST NAME	MOTHER'S MAIDEN NAME					
NATIONALITY	STATE OF ORIGIN					
LGA OF ORIGIN	PLACE OF BIRTH					
DUAL NATIONALITY YES NO	IF YES STATE 2ND NATIONALITY					
POSITION:	CLASS OF SIGNATORY: A B C					
RESIDENTIAL ADDRESS:						
HOUSE NUMBER	Street Name					
	CTATE					
	STATE					
C	OUNTRY					
EMAIL	PHONE NUMBER					
ID TYPE: INTERNATIONAL PASSPORT NATIONAL ID	DRIVER'S LICENSE VOTERS CARD (PVC)					
ID NO. ISSUE DATE	EXPIRY DATE					
BVN SIGNATURE	DATE DATE					
SIGNATURE.						
3. COMPANY SIGNATORY DETAILS						
TITLE GENDER: MALE FEMALE	DATE OF BIRTH					
SURNAME	OTHER NAME					
FIRST NAME	MOTHER'S MAIDEN NAME					
NATIONALITY	STATE OF ORIGIN					
LGA OF ORIGIN	PLACE OF BIRTH					
DUAL NATIONALITY YES NO	IF YES STATE 2ND NATIONALITY					
POSITION:	CLASS OF SIGNATORY: A B C					
RESIDENTIAL ADDRESS:						
HOUSE NUMBER	Street Name					
	STATE					
SIAIE						
COUNTRY						
EMAIL	PHONE NUMBER					
ID TYPE: INTERNATIONAL PASSPORT NATIONAL ID	DRIVER'S LICENSE VOTERS CARD (PVC)					
ID NO. ISSUE DATE	EXPIRY DATE					
BVN SIGNATURE	: DATE					

1. COMPANY DIRECTOR DETAILS					
TITLE GENDER: MALE FEMALE	DATE OF BIRTH				
SURNAME	OTHER NAME				
FIRST NAME	MOTHER'S MAIDEN NAME				
NATIONALITY	STATE OF ORIGIN				
LGA OF ORIGIN	PLACE OF BIRTH				
DUAL NATIONALITY YES NO	IF YES STATE 2ND NATIONALITY				
POSITION:	CLASS OF SIGNATORY: A B C				
RESIDENTIAL ADDRESS:					
HOUSE NUMBER	Street Name				
ST	ATE				
EMAIL COU	intry Phone number				
ID TYPE: INTERNATIONAL PASSPORT NATIONAL ID	DRIVER'S LICENSE VOTERS CARD (PVC)				
ID NO. ISSUE DATE	EXPIRY DATE				
BVN					
2. COMPANY DIRECTOR DETAILS					
TITLE GENDER: MALE FEMALE	DATE OF BIRTH				
SURNAME	OTHER NAME				
FIRST NAME	MOTHER'S MAIDEN NAME				
NATIONALITY LOAD OF CRICAL AND ADDRESS OF CR	STATE OF ORIGIN				
LGA OF ORIGIN	PLACE OF BIRTH				
DUAL NATIONALITY YES NO POSITION:	IF YES STATE 2ND NATIONALITY CLASS OF SIGNATORY: A B C				
RESIDENTIAL ADDRESS:	CLASS OF SIGNATORY, A				
HOUSE NUMBER	Street Name				
ST	ATE				
COU	intry				
EMAIL	PHONE NUMBER				
ID TYPE: INTERNATIONAL PASSPORT NATIONAL ID	DRIVER'S LICENSE VOTERS CARD (PVC)				
ID NO. ISSUE DATE	EXPIRY DATE				
BVN					
COMPANY INVESTMENT PROFILE					
WHAT IS THE PURPOSE OF OPENING THIS ACCOUNT?					
LONG TERM INVESTMENT: SAVING FOR RETIR	REMENT: INCOME GENERATION:				
CAPITAL PRESERVATION: OTHERS (PLEASE SI	recipi):				

SETTLEMENT INSTRUCTION(S)

PLEASE NOTE THAT PROCEEDS FROM SALE OF SECURITIES WILL BE TRANSFERRED DIRECTLY TO THE COMPANY'S BANK ACCOUNT USING THE DIRECT CASH SETTLEMENT PLATFORM OF CENTRAL SECURITIES AND CLEARING SYSTEM (CSCS).

COMPANY'S BANK DETAILS WILL BE PROVIDED TO CSCS. THE SETTLEMENT ACCOUNT MUST BE IN THE SAME NAME AS THE ACCOUNT DESCRIPTION FOR THE BROKERAGE ACCOUNT **BRANCH:** BANK NAME **ACCOUNT** NAME **ACCOUNT NUMBER NEXT OF KIN** DATE OF BIRTH TITLE GENDER: MALE **FEMALE** TITLE **SURNAME** OTHER NAME FIRST NAME RELATIONSHIP EMAIL: PHONE NUMBER **RESIDENTIAL ADDRESS:**

COUNTRY

TERMS AND CONDITIONS

 DEFINITIONS IN THIS AGREEMENT: "NOVAMBLAM" shall mean NOVAMBL Asset Management Limited; the "Client" shall mean any person or persons, including a corporate body for whom an account is held by NOVAMBLAM whether individually or jointly with some other person.

CITY

HOUSE NUMBER

- STATEMENTS OF ACCOUNT: A statement of account will be issued at the end of each quarter and a full report detailing the performance of the client's investments will be issued half yearly.
- TAXES: Any taxes or duties levied on the Clients holding or on any security furnished by the Client, shall be charged to the Client notwithstanding that demand for payment is made only after the account has been closed.
- 4. APPROVAL OF STATEMENTS: Any objection to a statement of account or report on investment must be made to NOVAMBLAM immediately upon receipt of the same and must reach NOVAMBLAM no later than six weeks from the date on which the statement was sent out by NOVAMBLAM. On the expiration of this period, all statements of account and reports shall be deemed to have been approved.
- 5. CORRESPONDENCE: Any communication from NOVAMBLAM to the Client shall be deemed to be validly delivered to the client if sent to the latest address indicated to NOVAMBLAM for this purpose by the client. The date indicated on copies of correspondence or on mailing records of NOVAMBLAM is presumed to be the date of delivery. In the absence of written instructions regarding the dispatch of correspondence, NOVAMBLAM will retain in its possession all correspondence concerning the client and shall be deemed validly delivered to the client as of the date they bear. Unless otherwise instructed in writing, NOVAMBLAM will destroy correspondence retained by it, including any cheques or instruments returned or unpaid, 3 years following the date thereof, NOVAMBLAM accepts no liability in respect of its retention of any correspondence for a client.
- 6. PAYMENT OF INDEBTEDNESS AND COSTS OF COLLECTION.
 The client shall at all times be liable for the payment upon demand

of any indebtedness or other obligations owing in the client's account and the client shall be liable to NOVAMBLAM for any deficiency remaining in any such account in the event of a total or partial liquidation. The costs of collection of any indebtedness owing from the account of a client including any legal costs shall, to the extent permitted by the applicable laws and regulations, be borne by the client.

STREET NAME

STATE

- 7. MEANS OF TRANSPORTATION AND OF TRANSMISSION. Provided that NOVAMBLAM has acted reasonably and prudently, it shall not be liable for any risks or damage resulting from the use of the postal service, telegraph, telephone, telex or any other system of transmission or means Of transportation, in particular, by reason of loss, delay, mistake, misunderstandings, distortions, omissions Or duplications. At its sole discretion, NOVAMBLAM may suspend the execution of any instructions given by telephone, telegraph, facsimile or telex until receipt of legible written confirmation,
- 8. FOREIGN CURRENCY ACCOUNTS. Foreign currency investments shall be made in the name of NOVAMBLAM but for the account of, and at the risk of the client. The client shall bear all risks and costs with respect to such investments, including those resulting from statutory, fiscal or other measures introduced in the relevant Countries restricting freedom of disposal. A client may dispose of foreign currency holdings only by requesting redemption or transfers in the relevant foreign currency or such other currency as the client shall elect.
- 9. SIGNATURES. Signatures are compared against specimens held by NOVAMBLAM and further examination is not required. NOVAMBLAM assumes no liability, in the absence of gross negligence, for failing to notice falsifications. The client is required to furnish NOVAMBLAM with a list of persons authorized to sign on its/his/her behalf, together with specimens of their signatures, and to inform NOVAMBLAM in writing of any change in that list or in those signatures. Until it receives notice of any change, NOVAMBLAM is entitled to treat previously authorized signatures as valid.
- 10. JOINT ACCOUNTS. Where an account is held in the name of

two Or more persons and there is more than one signatory required, their obligations under this Agreement shall be joint and several.

- 11. PARTIAL LIQUIDATIONS. Subject to the size Of the Client's portfolio, the client shall give 7 days notice in writing to effect a partial withdrawal not exceeding of the market value Of the securities, funds and other property comprising the client' account(s) and 21 days prior written notice in the case of partial withdrawals exceeding 10% of the market value of the client's assets but not amounting to a total liquidation of the investment; proVlded however that the client acknowledges that in the case of investments held in emerging markets and, in particular, in Nigeria, delay in redemptions are likely given the relative illiquidity of these markets.
- 12. CAPACITY TO ENTER INTO AGREEMENT. The client represents and warrants that no one except the client or such person as previously disclosed to NOVAMBLAM, has an interest in the account(s) of the client. If the foregoing representation and Warranty is inaccurate or becomes So, the client will promptly notify NOVAMBLAM of the same.
- 13. DISCLOSURE. NOVAMBLAM shall maintain all information concerning the client's account(s) on a confidential basis, but NOVAMBLAM may be required by applicable laws and regulations to (and shall if required) disclose such information to the regulatory authorities including without limitation, the name, address and securities' positions with respect to securities held in such account(s).
- 14. TERMINATION OF AGREEMENT. NOVAMBLAM reserves the right to suspend or terminate the account of the client at any time by giving at least 7 days notice in writing of its intention to suspend or terminate relations. The client shall give 21 days prior written notice in Case Of a total liquidation or transfer of the client account(s) and in the case of assets held in emerging markets, the client shall provide at least 40 days notice. The caveat contained in paragraph 15 above shall apply to this provision.
- 15. FORCE MAJEIJRE. NOVAMBLAM shall not be liable for any losses arising in respect Of assets held by them on behalf of the client, where such losses are the result of, whether directly or indirectly, government restrictions, exchange or market rulings, suspension Of trading, war, strikes Or Other factors beyond the control of NOVAMBLAM.
- 16. APPLICABLE LAWS AND REGULATIONS. All client account transactions shall be subject to the laws, rules, regulations, customs and practices of the exchange or market and it's clearing house, if any, on which such transactions are executed by NOVAMBLAM.
- 17. GOVERNING LAW OF AGREEMENT. This Agreement shall be governed by and construed in accordance with the laws Of the Federal Republic of Nigeria. It shall inure for the benefit of the successors in title and assigns Of NOVAMBLAM to whom the account(s) of the client may be transferred. This Agreement shall be binding upon the heirs. personal representatives, successors

- and assigns of the client.
- 18. ARBITRATION. All disputes arising out Of, or in connection with this Agreement, including any question as to its validity or termination shall be finally settled by a single Arbitrator appointed by both parties, pursuant to the Arbitration and Conciliation Act, Cap Alb, Laws Of the Federation of Nigeria, 2004.
- 19. MEDIATION. At anytime before the issue Of Arbitration, the parties may agree to refer the dispute to Mediation, in accordance with the Lagos or the Abuja Multi-Door Courthouse (LMDC or AMDC) Mediation Procedure Rules as contained in the Practice Direction of both courthouses. Where the dispute is not settled by mediation, the parties may continue with Arbitration.
- 20. MODIFICATIONS AND AMENDMENTS. NOVAMBLAM reserves the right to modify or amend any provision Of the Agreement. Such modification or amendment shall take effect from the date specified by NOVAMBLAM on notice of the same to the client.
- 21. SEVERABILITY OF PROVISIONS. Any provision of this Agreement, Which is unenforceable in any jurisdiction, shall, as to such jurisdiction, be ineffective to the extent of such unenforceability without invalidating the remaining provisions hereof or affecting the validity or enforceability of the provision in any Other jurisdiction,
- 22. REGULATORY DISCLOSURE. NOVAMBLAM is subject to the provisions of the Money Laundering Prohibition Act 2004, the Economic and Financial Crimes Commission (Establishment) Act 2002, the National Drug Law Enforcement Ageng Act Of 1995 and Other legislation which may be implemented from time to time to combat money laundering and Other economic crimes. NOVAMBLAM is required to comply with the provi sions of these legislation and all similar legislation, especially those relating to disclosure and Suspicious reporting.
- 23. INTERPRETATION. The headings contained in this Agreement are inserted for convenience only and shall not affect the construction Of this Agreement.
- 24. PAYMENT. Cheques and payments should be made in favour Of NOVAMBLAM alone and not in favour of an agent/representative. NOVAMBLAM agents/representatives are not authorized to receive cash from the Client.
- 25. The Client warrants, undertakes and confirms that all information contained in this document and all attachments annexed hereto is complete and Correct in all respects and the Client undertakes to notify Asset & Resource Management Company Limited of any material changes or events as and When they occur. Furthermore, the Client hereby authorizes Asset & Resource Management Company Limited to obtain independent verification of any information provided by the Client or obtain such further information, or make such further enquiries as NOVAMBLAM may at its discretion require.

DECLARATION

I/We declare that

- The information given is correct to the best of my/our knowledge and belief, and I/We will inform YUDERB Investment & Securities Ltd of any change in the information given.
- I/We are 18 years old or over.
- I/We understand that as with stock market investments, the value of my/our investment(s) may go up or down and that past performance is not necessarily
 an indication of future performance.
- I/We agree to be bound by the Terms and Conditions contained herein.
- · I certify that the funds and sources of such funds and or assets are legitimate and not directly or indirectly the proceeds of any unlawful activity.

Note: Check that you have completed ALL sections of the application form relevant to you

SIGNATURE:	DATE:				

MANDAI	TE .							
NAME:								
DATE:				PLEASE AFFIX PASSPORT PHOTOGRAPH HERE				
SIGNATURE:								
NAME:] [
]]	DIEACE AFEIV				
DATE:				PLEASE AFFIX PASSPORT				
SIGNATURE:				PHOTOGRAPH HERE				
OFFICIAL	. USE ONLY							
IS ANY OF TH	he signatories, directors or sharehold	DERS A POLITICALLY EXPOSED PERSON?	YE	ES NO				
IS ANY OF TH	he signatories, directors or sharehold	DERS A POLITICALLY EXPOSED PERSON?	YE	ES NO				
IF YES STATE	THE RELATIONSHIP:							
CUSTOMER RISK LEVEL:		HIGH	MEDIU	M LOW [
ACCOUN	IT VERIFICATION							
ACCOUNT	VERIFIED BY RELATIONSHIP MANAGER:							
SIGNATURE	::							
DATE:								
CHECKED E	sy compliance:							
SIGNATURE	i:							
DATE:								
TREATED BY	OPERATIONS:							
SIGNATURE	: AND DATE:							

